

Circular Coloring, Circular Flow, and Homomorphisms of Signed Graphs

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Publications and preprints

Circular colorings of signed graphs

- [NWZ21] Circular chromatic number of signed graphs. With R. Naserasr and X. Zhu. *Electronic Journal of Combinatorics*, 28(2)(2021), #P2.44, 40 pp.
- [KNNW21+] Circular $(4 - \epsilon)$ -coloring of signed graphs. With F. Kardos, J. Narboni, and R. Naserasr. *SIAM Journal on Discrete Mathematics*. Accepted subject to a minor revision.
- [NW21] Circular coloring of signed bipartite planar graphs. With R. Naserasr. In: Nešetřil J., Perarnau G., Rué J., Serra O. (eds) *Extended Abstracts EuroComb 2021. Trends in Mathematics, vol 14. Birkhäuser, Cham*.
- [NW21+] Signed bipartite circular cliques and a bipartite analogue of Grötzsch's theorem. With R. Naserasr. *Submitted*.

Circular flows in signed graphs

- [LNNWZ22+] Circular flow in mono-directed signed graphs. With J. Li, R. Naserasr, and X. Zhu. *In preparation*.
 - Strongly \mathbb{Z}_2k -connectedness and mapping signed bipartite planar graphs to cycles. With J. Li, Y. Shi, and C. Wei. *In preparation*.

Publications and preprints

Homomorphisms of signed graphs

- [NPW22] *Density of C_{-4} -critical signed graphs.* With R. Naserasr and L.A. Pham. *Journal of Combinatorial Theory, Series B*, 153 (2022), 81-104.
- [NSWX21+] *Mapping sparse signed graphs to (K_{2k}, M) .* With R. Naserasr, R. Škrekovski, and R. Xu. *Submitted.*
- *Bounding series-parallel graphs and cores of signed K_4 -subdivisions.* With R. Naserasr. *Journal of Combinatorial Mathematics and Combinatorial Computing*, (To the special volume for Gary MacGillivray), 116 (2021), 27-51.

1 Introduction

- Start from the 4-color theorem
- Circular colorings and circular flows in signed graphs
- Bipartite analog of Jaeger-Zhang conjecture

2 Circular chromatic numbers and circular flow indices of signed graphs

- Bounding χ_c of some families
- Bounding Φ_c of some families
- Conclusion

The 4-color theorem

The 4-color theorem [AH76]

Every planar graph is 4-colorable.

4-color theorem restated

- [Wag37] Every graph with no K_5 -minor is 4-colorable.
- [Tai80] Every cubic bridgeless planar graph is 3-edge-colorable.
- [Tut66] Every cubic bridgeless planar graph admits a nowhere-zero 4-flow.

From the 4-color theorem

- **Vertex-coloring theory and minor theory**

Hadwiger's conjecture [Had43]

Every graph with no K_{k-1} -minor is k -colorable.

- **Edge-coloring theory**

Seymour's edge-coloring conjecture [Sey75]

Every planar k -regular multigraph with no odd-cut of size less than k is k -edge-colorable.

- **Flow theory**

Tutte's 4-flow conjecture [Tut66]

Every bridgeless Petersen-minor-free graph admits a nowhere-zero 4-flow.

Signed graphs

- A **signed graph** (G, σ) is a graph G together with an assignment $\sigma : E(G) \rightarrow \{+, -\}$.
- The **sign** of a closed walk (especially, a cycle) is the product of signs of all the edges of it.
- A **switching** at a vertex v is to switch the signs of all the edges incident to this vertex.

Theorem [Zas82]

Signed graphs (G, σ) and (G, σ') are switching equivalent if and only if they have the same set of negative cycles.

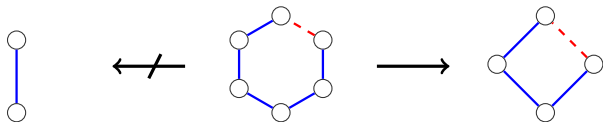
Start from the 4-color theorem

Homomorphisms of signed graphs

- A **homomorphism** of (G, σ) to (H, π) is a mapping φ from $V(G)$ and $E(G)$ to $V(H)$ and $E(H)$ respectively, such that the adjacencies, the incidences and the signs of closed walks are preserved. When there exists one, we write $(G, \sigma) \rightarrow (H, \pi)$.
- A homomorphism of (G, σ) to (H, π) is said to be **edge-sign preserving** if, furthermore, it preserves the signs of the edges. When there exists one, we write $(G, \sigma) \xrightarrow{s.p.} (H, \pi)$.

Lemma [NSZ21]

$$(G, \sigma) \rightarrow (H, \pi) \Leftrightarrow \exists \sigma' \equiv \sigma, (G, \sigma') \xrightarrow{s.p.} (H, \pi).$$



Necessary conditions for admitting homomorphisms

- Given $ij \in \mathbb{Z}_2^2$, the ij -girth of (G, σ) , denoted $g_{ij}(G, \sigma)$, is the length of a shortest closed walk in (G, σ) satisfying that
 - the number of negative edges (counting multiplicity) is congruent to $i \pmod{2}$;
 - the total number of edges (counting multiplicity) is congruent to $j \pmod{2}$.

No-homomorphism lemma [NSZ21]

If $(G, \sigma) \rightarrow (H, \pi)$, then $g_{ij}(G, \sigma) \geq g_{ij}(H, \pi)$ for each $ij \in \mathbb{Z}_2^2$.

Generalizations from the 4-color problem

Odd Hadwiger's conjecture [GS90s]

Given a graph G , if $(G, -)$ has no $(K_{k-1}, -)$ -minor, then G is k -colorable.

Signed projective cube conjecture [Gue05, Nas07]

Every signed planar graph (G, σ) satisfying that $g_{ij}(G, \sigma) \geq g_{ij}(C_{-k})$ for each $ij \in \mathbb{Z}_2^2$ admits a homomorphism to $SPC(k-1)$.

Jaeger-Zhang conjecture [Zha02]

Every planar graph of odd-girth at least $4k+1$ admits a circular $\frac{2k+1}{k}$ -coloring.

Jaeger's circular flow conjecture

Tutte's 3-flow conjecture [Tut66]

Every 4-edge-connected graph admits a nowhere-zero 3-flow.

Jaeger's circular flow problem [Jae84]

Every $4k$ -edge-connected graph admits a circular $\frac{2k+1}{k}$ -flow.

- It has been disproved for $k \geq 3$ [HLWZ18];
- It has been verified that the $6k$ -edge-connectivity is a sufficient condition for a graph to admit a circular $\frac{2k+1}{k}$ -flow [LTWZ13].

Duality: circular flow and circular coloring

Let p and q be two positive integers satisfying $p \geq 2q$.

A **circular $\frac{p}{q}$ -flow** in a graph G is a pair (D, f) where D is an orientation on G and $f : E(G) \rightarrow \mathbb{Z}$ satisfying that $q \leq |f(e)| \leq p - q$ and for each vertex v ,

$$\sum_{(v,w) \in D} f(vw) - \sum_{(u,v) \in D} f(uv) = 0.$$

A **circular $\frac{p}{q}$ -coloring** of a graph G is a mapping $\varphi : V(G) \rightarrow \{1, 2, \dots, p\}$ such that $q \leq |\varphi(u) - \varphi(v)| \leq p - q$ for each edge $uv \in E(G)$.

Lemma [GTZ98]

A plane graph G admits a circular $\frac{p}{q}$ -coloring if and only if its dual graph G^* admits a circular $\frac{p}{q}$ -flow.

Jaeger-Zhang Conjecture

Jaeger-Zhang Conjecture [Zha02]

Every planar graph of odd-girth at least $4k + 1$ admits a circular $\frac{2k+1}{k}$ -coloring.

- $k = 1$: Grötzsch's theorem;
- $k = 2$: verified for odd-girth 11 [DP17; CL20];
- $k = 3$: verified for odd-girth 17 [CL20; PS22];
- $k \geq 4$:
 - verified for odd-girth $8k - 3$ [Zhu01];
 - verified for odd-girth $\frac{20k-2}{3}$ [BKKW02];
 - verified for odd-girth $6k + 1$ [LTWZ13].

Circular coloring of signed graphs

Let C^r be a circle of circumference r .

Definition [NWZ21]

Given a signed graph (G, σ) with no positive loop and a real number r , a **circular r -coloring** of (G, σ) is a mapping $\varphi : V(G) \rightarrow C^r$ such that for each positive edge uv of (G, σ) ,

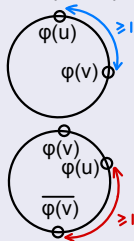
$$d_{C^r}(\varphi(u), \varphi(v)) \geq 1,$$

and for each negative edge uv of (G, σ) ,

$$d_{C^r}(\varphi(u), \overline{\varphi(v)}) \geq 1.$$

The **circular chromatic number** of (G, σ) is defined as

$$\chi_c(G, \sigma) = \inf\{r \geq 1 : (G, \sigma) \text{ admits a circular } r\text{-coloring}\}.$$



Circular $\frac{p}{q}$ -coloring of signed graphs

For $i, j, x \in \{0, 1, \dots, p-1\}$,

$$d_{(\text{mod } p)}(i, j) = \min\{|i - j|, p - |i - j|\} \text{ and } \bar{x} = x + \frac{p}{2} (\text{mod } p).$$

Given a positive even integer p and a positive integer q satisfying $q \leq \frac{p}{2}$, a **circular $\frac{p}{q}$ -coloring** of a signed graph (G, σ) is a mapping $\varphi : V(G) \rightarrow \{0, 1, \dots, p-1\}$ such that for any positive edge uv ,

$$q \leq |\varphi(u) - \varphi(v)| \leq p - q,$$

and for any negative edge uv ,

$$|\varphi(u) - \varphi(v)| \leq \frac{p}{2} - q \text{ or } |\varphi(u) - \varphi(v)| \geq \frac{p}{2} + q.$$

Signed circular cliques

Lemma [NWZ21]

A signed graph (G, σ) admits a circular $\frac{p}{q}$ -coloring if and only if it admits an edge-sign preserving homomorphism to the **signed circular clique** $K_{p;q}^s$.

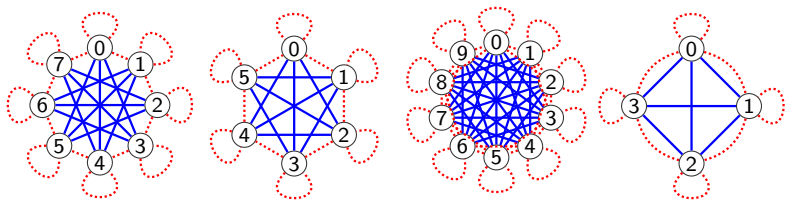


Figure: $K_{8;3}^s \prec K_{6;2}^s \prec K_{10;3}^s \prec K_{4;1}^s$

Orientation on signed graphs

- A **bi-directed** signed graph is a signed graph where each edge is assigned with two directions at both of its ends such that
 - in a positive edge, the ends are both directed from one endpoint to the other,
 - in a negative edge, either both ends are directed outward or both are directed inward.
- A **mono-directed** signed graph is a signed graph where each edge is assigned with one direction.

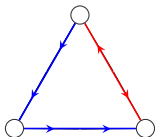


Figure: A bi-directed signed K_3

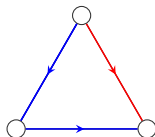


Figure: A mono-directed signed K_3

Circular $\frac{p}{q}$ -flow in mono-directed signed graphs

Definition [LNWZ22+]

Given a positive even integer p and a positive integer q where $q \leq \frac{p}{2}$, a **circular $\frac{p}{q}$ -flow** in a signed graph (G, σ) is a pair (D, f) where D is an orientation on G and $f : E(G) \rightarrow \mathbb{Z}$ satisfies the following conditions.

- For each positive edge e , $|f(e)| \in \{q, \dots, p - q\}$;
- For each negative edge e , $|f(e)| \in \{0, \dots, \frac{p}{2} - q\} \cup \{\frac{p}{2} + q, \dots, p - 1\}$;
- For each vertex v of (G, σ) , $\sum_{(v,w) \in D} f(vw) = \sum_{(u,v) \in D} f(uv)$.

The **circular flow index** of (G, σ) is defined to be

$$\Phi_c(G, \sigma) = \min \left\{ \frac{p}{q} \mid (G, \sigma) \text{ admits a circular } \frac{p}{q}\text{-flow} \right\}.$$

Circular $\frac{2\ell}{\ell-1}$ -coloring and circular $\frac{2\ell}{\ell-1}$ -flow

Lemma [LNWZ22+]

A signed plane graph (G, σ) admits a circular $\frac{p}{q}$ -coloring if and only if its dual signed graph (G^*, σ^*) admits a circular $\frac{p}{q}$ -flow, i.e.,

$$\chi_c(G, \sigma) \leq \frac{p}{q} \Leftrightarrow \Phi_c(G^*, \sigma^*) \leq \frac{p}{q}.$$

Let k be a positive integer.

- A signed graph $(G, +)$ admits a circular $\frac{2k+1}{k}$ -coloring if and only if $(G, +) \rightarrow C_{2k+1}$.
- [NW21+] A signed bipartite graph (G, σ) admits a circular $\frac{4k}{2k-1}$ -coloring if and only if $(G, \sigma) \rightarrow C_{-2k}$.

Homomorphisms of signed bipartite graphs

Proposition [HN90, NPW22]

For any integer k , a graph G is k -colorable if and only if $T_{k-2}(G)$ admits a homomorphism to C_{-k} .

4-color theorem restated

Given a planar graph G , the signed bipartite graph $T_2(G)$ admits a homomorphism to C_{-4} .

Lemma

- [NRS15] $G \rightarrow H \Leftrightarrow S(G) \rightarrow S(H)$;
- [NWZ21] Given a graph G , we have $\chi_c(S(G)) = 4 - \frac{4}{\chi_c(G) + 1}$;
- [NRS15] $\chi(G) \leq k \Leftrightarrow S(G) \rightarrow (K_{k,k}, M)$ for $k \geq 3$.

Homomorphisms of signed bipartite graphs

4-color theorem restated [KNNW21+, NW21+]

Let G be a planar graph.

- $S(G) \rightarrow S(K_4)$;
- $S(G) \rightarrow \hat{B}_{16;5}^s$;
- $S(G) \rightarrow (K_{4,4}, M)$.

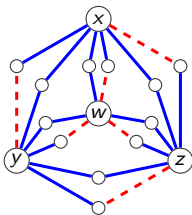


Figure: $S(K_4)$

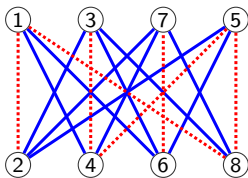


Figure: $\hat{B}_{16;5}^s$

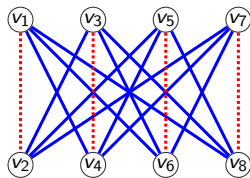


Figure: $(K_{4,4}, M)$

Signed bipartite analog of Jaeger-Zhang conjecture

Signed bipartite analog of Jaeger's circular flow conjecture

Every $g(k)$ -edge-connected Eulerian signed graph admits a circular $\frac{4k}{2k-1}$ -flow.

Signed bipartite analog of Jaeger-Zhang conjecture [NRS15]

Every signed bipartite planar graph of negative-girth at least $f(k)$ admits a homomorphism to C_{-2k} .

- $k = 2$: verified for negative-girth 8 (best possible) [NPW22];
- $k = 3$: verified for negative-girth 14 [LSWW22+];
- $k = 4$: verified for negative-girth 20 [LSWW22+];
- $k \geq 5$:
 - verified for negative-girth $8k - 2$ [CNS20];
 - verified for negative-girth $6k - 2$ [LNWZ22+].

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 - Bounding Φ_c of some families
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Bounding χ_c of some families

Let \mathcal{C} be a class of signed graphs.

$$\chi_c(\mathcal{C}) = \sup\{\chi_c(G, \sigma) \mid (G, \sigma) \in \mathcal{C}\}.$$

\mathcal{SD}_d : the class of signed d -degenerate simple graphs

- For any positive integer $d \geq 2$, $\chi_c(\mathcal{SD}_d) = 2\lfloor \frac{d}{2} \rfloor + 2$ [NWZ21];

Theorem [KNNW21+]

If (G, σ) is a signed 2-degenerate simple graph on n vertices, then

$$\chi_c(G, \sigma) \leq 4 - \frac{2}{\lfloor \frac{n+1}{2} \rfloor}.$$

Moreover, this upper bound is tight for each value of $n \geq 2$.

The families \mathcal{SSP}_k and \mathcal{SK}

\mathcal{SSP}_k : the class of signed series-parallel graphs of girth at least k

- $\chi_c(\mathcal{SSP}_3) = \frac{10}{3}$ [NWZ21]; $\chi_c(\mathcal{SSP}_4) = 3$.

\mathcal{SK} : the class of signed simple graphs whose underlying graph is k -colorable

- $\chi_c(\mathcal{SK}) = 2k$.

Theorem [NWZ21]

For any integers $k, g \geq 2$ and any $\epsilon > 0$, there is a graph G of girth at least g satisfying that $\chi(G) = k$ and a signature σ such that $\chi_c(G, \sigma) > 2k - \epsilon$.

The families \mathcal{SP}_k

\mathcal{SP}_k : the class of signed planar graphs of girth at least k

- $\frac{14}{3} \leq \chi_c(\mathcal{SP}_3) \leq 6$ [NWZ21];

Theorem [KN21]

There is a signed planar graph which is not $\{\pm 1, \pm 2\}$ -colorable.

- $\chi_c(\mathcal{SP}_7) \leq 3$.

Theorem [NSWX21+]

Every signed graph with $mad(G) < \frac{14}{5}$ admits a homomorphism to (K_6, M) . Moreover, the bound $\frac{14}{5}$ is the best possible.

Bounding χ_c of some families

$$\chi_c(\mathcal{SBP}_4) \cong 4$$

\mathcal{SBP}_ℓ : the class of signed bipartite planar graphs of negative-girth at least ℓ

Theorem [KNNW21+]

If (G, σ) is a signed bipartite planar simple graph on n vertices, then

$$\chi_c(G, \sigma) \leq 4 - \frac{4}{\lfloor \frac{n+2}{2} \rfloor}.$$

Moreover, this upper bound is tight for each value of $n \geq 2$.

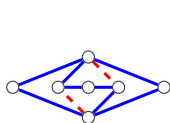


Figure: Γ_3

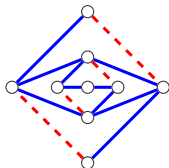


Figure: Γ_4

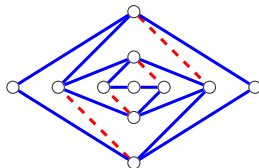


Figure: Γ_5

Bounding χ_c of some families

$$\frac{14}{5} \leq \chi_c(\mathcal{SBP}_6) \leq 3$$

Theorem [NW21+]

For $(G, \sigma) \in \mathcal{SBP}_6$, $(G, \sigma) \rightarrow (K_{3,3}, M)$.

Theorem [DKK16; NRS13]

Every signed planar graph (G, σ) satisfying that $g_{ij}(G, \sigma) \geq g_{ij}(SPC(5))$ for each $ij \in \mathbb{Z}_2^2$ admits a homomorphism to $SPC(5)$.

Key lemma [NRS13]

For $(G, \sigma) \in \mathcal{SBP}_6$, there are 6 disjoint subsets of edges, E_1, E_2, \dots, E_6 , such that each of the signed graphs (G, σ_i) , $i \in [6]$, where E_i is the set of negative edges of (G, σ_i) , is switching equivalent to (G, σ) .

Bounding χ_c of some families

$$\chi_c(\mathcal{SBP}_8) \cong \frac{8}{3}$$

Theorem [NPW22]

If \hat{G} is a C_{-4} -critical signed graph that is not isomorphic to \hat{W} , then

$$|E(G)| \geq \frac{4|V(G)|}{3}.$$

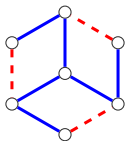


Figure: C_{-4} -critical signed graph \hat{W}

Corollary [NPW22]

For $(G, \sigma) \in \mathcal{SBP}_8$, $(G, \sigma) \rightarrow C_{-4}$.

Bounding Φ_c of some families

Conjectures [LNWZ22+]

- Every 3-edge-connected signed graph admits a circular 5-flow.
- Every 5-edge-connected signed graph admits a circular 3-flow.
- Every 2-edge-connected signed Petersen-minor-free graph admits a circular 8-flow.

Connection to the \mathbb{Z}_{2k} -connectivity

- Every 3-edge-connected signed graph admits a circular 6-flow.
- Every 4-edge-connected signed graph admits a circular 4-flow.

Via the Nash-Williams and Tutte theorem

- For every 6-edge-connected signed graph (G, σ) , $\Phi_c(G, \sigma) < 4$.

Highly edge-connected signed graphs

Theorem [LNWZ22+]

Given a signed graph (G, σ) , we have the following claims:

- 1 If G is $(6k - 1)$ -edge-connected, then $\Phi_c(G, \sigma) \leq \frac{4k}{2k-1}$.
- 2 If G is $6k$ -edge-connected, then $\Phi_c(G, \sigma) < \frac{4k}{2k-1}$.
- 3 If G is $(6k + 1)$ -edge-connected, then $\Phi_c(G, \sigma) \leq \frac{8k+2}{4k-1}$.
- 4 If G is $(6k + 2)$ -edge-connected, then $\Phi_c(G, \sigma) \leq \frac{2k+1}{k}$.
- 5 If G is $(6k + 3)$ -edge-connected, then $\Phi_c(G, \sigma) < \frac{2k+1}{k}$.
- 6 If G is $(6k + 4)$ -edge-connected, then $\Phi_c(G, \sigma) \leq \frac{8k+6}{4k+1}$.

Highly edge-connected signed Eulerian graphs

Theorem [LNWZ22+]

Every $(6k - 2)$ -edge-connected Eulerian signed graph admits a circular $\frac{4k}{2k-1}$ -flow.

Theorem [LNWZ22+]

Every signed bipartite planar graph of negative-girth at least $6k - 2$ admits a homomorphism to C_{-2k} .

The class \mathcal{SP}_k^*

\mathcal{SP}_k^* : the class of signed planar graphs (G, σ) satisfying that $g_{ij}(G, -\sigma) \geq g_{ij}(C_{-k})$ for each $ij \in \mathbb{Z}_2^2$.

- $\mathcal{SP}_{2\ell+1}^*$ consists of all signed planar graphs $(G, +)$ of odd-girth at least $2\ell + 1$.
- $\mathcal{SP}_{2\ell}^*$ consists of all signed bipartite planar graphs of negative-girth at least 2ℓ , i.e., $\mathcal{SBP}_{2\ell}$.

Circular chromatic number of SP_k^* and SP_k

k	Bounds on $\chi_c(SP_k^*)$	Reference	Bounds on $\chi_c(SP_k)$	Reference
2	$\chi_c(SP_2^*) = 4$	Prop. 3.1.7	$\chi_c(SP_2) = 8$	the 4-color thm
3	$\chi_c(SP_3^*) = 4$	the 4-color thm	$\frac{14}{3} \leq \chi_c(SP_3) \leq 6$	Thm. 4.4.1
4	$\chi_c(SP_4^*) \cong 4$	Thm. 7.2.1	$\chi_c(SP_4) \leq 4$	[MRŠ16]
5	$\chi_c(SP_5^*) = 3$	[Grö58], [SY89]	*	
6	$\frac{14}{5} \leq \chi_c(SP_6^*) \leq 3$	Thm. 9.2.4	*	
7	*		$\chi_c(SP_7) \leq 3$	Cor. 10.5.5
8	$\chi_c(SP_8^*) \cong \frac{8}{3}$	Thm. 8.5.3	*	
10	*		$\chi_c(SP_{10}) \leq \frac{8}{3}$	[LSWW22+]
11	$\chi_c(SP_{11}^*) \leq \frac{5}{2}$	[DP17], [CL20]	*	
14	$\chi_c(SP_{14}^*) \leq \frac{12}{5}$	[LSWW22+]	*	
17	$\chi_c(SP_{17}^*) \leq \frac{7}{3}$	[CL20], [PS22]	*	
20	$\chi_c(SP_{20}^*) \leq \frac{16}{7}$	[LSWW22+]	*	
...	
$6p - 2$	$\chi_c(SP_{6p-2}^*) \leq \frac{4p}{2p-1}$	Thm. 6.3.9	$\chi_c(SP_{6p-2}) \leq \frac{8p-2}{4p-3}$	Thm. 6.3.5
$6p - 1$	$\chi_c(SP_{6p-1}^*) \leq \frac{4p}{2p-1}$	[LWZ20]	$\chi_c(SP_{6p-1}) \leq \frac{4p}{2p-1}$	Thm. 6.3.5
$6p$	*		*	
$6p + 1$	$\chi_c(SP_{6p+1}^*) \leq \frac{2p+1}{p}$	[LTWZ13]	$\chi_c(SP_{6p+1}) \leq \frac{8p+2}{4p-1}$	Thm. 6.3.5
$6p + 2$	*		$\chi_c(SP_{6p+2}) \leq \frac{2p+1}{p}$	Thm. 6.3.5

Jaeger-Zhang conjecture and some extensions

Conjecture

Given a positive integer k , we have that

$$\chi_c(\mathcal{SP}_{4k+1}^*) = \chi_c(\mathcal{SP}_{4k+2}^*) = \frac{2k+1}{k},$$

and

$$\chi_c(\mathcal{SP}_{4k-1}^*) = \chi_c(\mathcal{SP}_{4k}^*) = \frac{4k}{2k-1}.$$

Thanks for your attention!